**NIH Questionnaire for UW-Madison Faculty (Other Support Forms and Foreign Component Disclosures):**

NIH issued an important notice effective May 25, 2021 ([NOT-OD-21-073](https://grants.nih.gov/grants/guide/notice-files/NOT-OD-21-073.html)), updating their expectations for disclosure of Other Support. In addition to disclosing all research activity administered through UW-Madison, [Senior/Key Personnel](https://grants.nih.gov/grants/glossary.htm#Senior/KeyPersonnel) are also expected to disclose all resources made available to a researcher in support of and/or related to all of their research endeavors, regardless of whether or not they have monetary value and regardless of whether they are based at UW-Madison or received external to UW-Madison. **NIH interprets Other Support to include the following:**

* **Paid or unpaid appointment(s) at another institution, domestic or foreign.** Please include all research collaboration(s) at another institution that directly benefit the investigator's research endeavors. Please also include any start-up funds provided by institutions other than UW-Madison (amount currently available). Include under ACTIVE, PENDING and/or COMPLETED, as appropriate.
* **Financial support**, including, but not limited to, selection to a foreign “talents” or similar-type program, or other foreign or domestic support. This includes research performed outside of a faculty member's appointment. For example, it includes a faculty member with a 9-month appointment at UW-Madison and a two-month appointment at another institution with the work performed during the summer. Include under ACTIVE, PENDING, and/or AND COMPLETED, as appropriate.
* **Financial support for laboratory personnel.** Please include employees, post-docs, students, and visiting scholars who are paid a salary by their government or home institution. “If the time commitment or dollar value of the in-kind contribution is not readily ascertainable, the recipient must provide reasonable estimates.” Include under ACTIVE, PENDING, and/or AND COMPLETED, as appropriate.
* **In-kind contributions**, e.g., office/laboratory space, equipment, supplies, and other “high-value materials that are not freely available (e.g., biologics, chemical, model systems, technology, etc.).” Include under In-Kind ACTIVE and/or PENDING, as appropriate.
* **Outside Activities such as consulting** where the researcher will be conducting research as part of the consulting activities. Private equity financing (e.g., in support of the SBIR/STTR program) and honoraria in support of an individual’s research endeavors must be included. Include under ACTIVE, PENDING, and/or AND COMPLETED, as appropriate.
* **UW-MADISON grants resulting from internally-funded competitions** (amount currently available). Include awards for which a proposal and budget were submitted, a deliverable such as a report is required, and separate accounts are maintained. Examples include WARF Fall Competition funds, SEED Research Program or Draper Technology funds, Research Service Grants, or Thompson Center awards. These examples do not constitute an exhaustive list. Include under ACTIVE, PENDING, and/or AND COMPLETED, as appropriate.
* **Industry-sponsored clinical trial agreements.** Trials should be grouped together and include an aggregated effort amount for all industry-sponsored trials, as well as a general description for all trials. Include under ACTIVE, PENDING, and/or COMPLETED, as appropriate.

Please also note the following four requirements:

1. **Faculty must now provide copies of contracts, grants, or other agreements made directly to them and specific to foreign appointments and/or employment with a foreign institution**. Grant and contract agreements between a foreign entity and UW – Madison, even if they relate to an individual’s foreign appointment, do NOT have to be included.   If the agreements to individuals are not in English, recipients must provide translated copies.  Grant funds may not be used to pay for translations services. “This supporting documentation must be provided as part of the Other Support PDF following the Other Support Format page.”
2. **Faculty must sign an attestation on the Other Support document.** Effective May 25, 2021, NIH will require all senior/key personnel to “electronically sign their respective Other Support form as a PDF prior to submission” in order to “certify the accuracy of the information submitted.”
3. **Faculty should confirm that their NIH biosketch includes “all positions and scientific appointments both domestic and foreign, including affiliations with foreign entities or governments.”** This includes “titled academic, professional, or institutional appointments whether or not remuneration is received, and whether full-time, part-time, or voluntary (including adjunct, visiting, or honorary).”
4. **Faculty must request NIH’s prior approval before adding a “foreign component”** ([NIH's Grants Policy Statement Section 8.1.2.10](https://grants.nih.gov/grants/policy/nihgps/HTML5/section_8/8.1.2_prior_approval_requirements.htm?Highlight=prior%20approval#Foreign)). A **“foreign component”** is defined as “any significant scientific element or segment of a project outside of the United States, either by the recipient or by a researcher employed by a foreign organization, **whether or not grant funds are expended"** [emphasis added]. The definition of “foreign component” (which can be found [here](https://grants.nih.gov/grants/glossary.htm#ForeignComponent)) may include “collaborations with investigators at a foreign site anticipated to result in co-authorship; use of facilities or instrumentation at a foreign site; or receipt of financial support or resources from a foreign entity.”

While this questionnaire relates specifically to NIH guidance, other sponsors may require similar levels of detail in Other Support forms. Please see the [NIH FAQ](https://grants.nih.gov/faqs#/other-support-and-foreign-components.htm)for more information. A sample NIH Other Support form can be found [here](https://grants.nih.gov/sites/default/files/other-support-sample-2021.docx).

Consistent with the [Policy on Disclosure of Other Support (UW-4031)](https://policy.wisc.edu/library/UW-4031), UW-Madison Faculty are responsible for ensuring that their Other Support forms are accurate and complete. Research Administrators have limited or no knowledge of many of the items that Federal sponsors are now asking about such as external consulting/appointments/collaborations and internal UW-Madison grants/endowments. Senior/Key Personnel may want to reference their financial or other disclosures in the [Outside Activity Reporting System](https://oar.wisc.edu) to ensure they are including all relevant information.

The above guidance is based on various NIH Notices, FAQs, and other guidance documents, as aggregated [here](https://rsp.wisc.edu/other-support-information.cfm).

**Other support** includes **all** resources, domestic or foreign, made available to researchers or senior key personnel in support of and/or related to all of their research endeavors, regardless of whether or not they have monetary value and regardless of whether they are based at the institution the researcher identifies for the current grant.

**Instructions:**

Complete all sections of other support document. **The red text represents values that should be included.** Examples are provided. Your final document will include values **specific to each PD/PI or other senior key personnel. Be certain to sign completed other support document. Electronic signatures such as signature images or digital certificates ARE ACCEPTABLE. If using electronic signatures, you must flatten the PDF by using the “Print to PDF” function.**

Enter your support entries grouped as **Active, Pending and Completed Support (completed within the past three years) for ALL senior/key personnel**.

Name of Individual: (Name of PD/PI)

Commons ID: (NIH Commons ID)

**Other Support – Project/Proposal**

ACTIVE

Title: (project title)

Major Goals: (provide major goals)

Status of Support: Active

Project Number:(Agency/Sponsor Grant # assigned)

Name of PD/PI: (Name of PI….if a subaward, name prime PI…if a subproject, name contact PI)

Source of Support: (Sponsor name)

Primary Place of Performance:(University of Wisconsin OR other primary place)

Project/Proposal Start and End Date: (MM/YYYY) (if available): (Start-End of entire project or subaward or subproject)

Total Award Amount (including Indirect Costs): (Total amount of entire project or subaward or subproject)

**Person Months (Calendar/Academic/Summer)** per budget period. In the Year column, enter the year during which the budget period begins. In the Person Months column, enter the number of months the individual plans to spend during the budget period.

| Year (YYYY) | Person Months (##.##) |
| --- | --- |
| 1. 2021 | calendar |
| 2. 2022 | calendar |
| 3. 2023 | calendar |
| 4. 2024 | calendar |
| 5. 2025 | calendar |

EXAMPLE:

Title: Ion Transport in Lungs

Major Goals: The major goal of this project is to study chloride and sodium transport in normal and diseased lungs.

Status of Support: Active

Project Number: 5 R01 HL 00000-07

Name of PD/PI: Baker, J.B.

Source of Support: NHLBI

Primary Place of Performance: University of Wisconsin, Madison

Project/Proposal Start and End Date: (MM/YYYY) (if available): 4/1/2017 – 3/31/2022

Total Award Amount (including Indirect Costs): $981,736

Person Months (Calendar/Academic/Summer) per budget period.

| Year (YYYY) | Person Months (##.##) |
| --- | --- |
| 4. 2020 | 1.2 calendar |
| 5. 2021 | 1.2 calendar |

For Industry Sponsored Clinical Trials complete the following section in this specific format

Aggregated Effort \_\_\_ calendar months

University of Wisconsin lists aggregated effort assigned to the following eligible industry-sponsored clinical trial projects. Each of these individual projects has a varying need of effort depending on the type of activity currently in progress: protocol development, start-up, patient recruitment, enrollment, follow-up, monitoring, data analysis, publication, and closeout. Faculty determines each project’s need and adjusts their effort between projects within the total aggregated effort assigned to the clinical projects.

Title:

Repeat this set of information as needed for each industry-sponsored clinical trial

Major Goals:

Status of Support:

Project Number:

Name of PI:

Source of Support:

Primary Place of Performance:

Project Start and End Dates:

CURRENT Total Award Amount (Enter CURRENT **Total Including F&A** from **Budget** column in WISER):

PENDING

Title: Liposome Membrane Composition and Function

Major Goals: The major goals of this project are to define biochemical properties of liposome membrane components and maximize liposome uptake into cells.

Status of Support: Pending

Name of PD/PI: Anderson, R.R.

Source of Support: National Science Foundation

Primary Place of Performance: University of Wisconsin, Madison

Project/Proposal Start and End Date: (MM/YYYY) (if available): 10/1/2021 – 9/30/2023

Total Award Amount (including Indirect Costs): $262,921

Person Months (Calendar/Academic/Summer) per budget period.

| Year (YYYY) | Person Months (##.##) |
| --- | --- |
| 1. 2021 | 2.4 calendar |
| 2. 2022 | 2.4 calendar |

COMPLETED **NOTE: THIS IS A NEW REQUIREMENT. Enter all awards that have ended within the last 3 years.**

Title: (project title)

Major Goals: (provide major goals)

Status of Support: Completed

Project Number:(Agency/Sponsor Grant # assigned)

Name of PD/PI: (Name of PI….if a subaward, name prime PI…if a subproject, name contact PI)

Source of Support: (Sponsor name)

Primary Place of Performance:(University of Wisconsin OR other primary place)

Project/Proposal Start and End Date: (MM/YYYY) (if available): (Start-End of entire project or subaward or subproject)

EXAMPLE:

Title: Gene Transfer of CFTR to the Airway Epithelium

Major Goals: The major goals of this project are to identify and isolate airway epithelium progenitor cells and express human CFTR in airway epithelial cells.

Status of Support: Completed

Project Number: R000

Name of PD/PI: Anderson, R.R.

Source of Support: Cystic Fibrosis Foundation

Primary Place of Performance: University of Wisconsin, Madison

Project/Proposal Start and End Date: (MM/YYYY) (if available): 9/1/17 – 8/31/20

**IN-KIND**

Other support does not include training awards, prizes, or gifts. Gifts are resources provided where there is no expectation of anything (e.g. time, services, specific research activities, money, etc.) in return. An item or service given with the expectation of an associated time commitment is not a gift and is instead an in-kind contribution and must be reported as such.

**Provide Active and Pending In-Kind contributions for all senior/key personnel**, grouped together based on the "Status of Support" and are **in the order of Active and Pending Support** from top to bottom. **IF NONE, PLEASE STATE.**

Summary of In-Kind Contribution: Post-doctoral fellow, Dr. John Smith, who conducts research activities in the Anderson lab. Salary supported by Oxford University.

Status of Support: Active

Primary Place of Performance: University of Wisconsin, Madison

Project/Proposal Start and End Date (MM/YYYY) (if available):

Person Months (Calendar/Academic/Summer) per budget period: N/A

**Estimated** Dollar Value of In-Kind Information: $80,000

Summary of In-Kind Contribution: Cell line XYZ provided by Dr. Jennifer Smith at Cornell University.

Status of Support: Active

Primary Place of Performance: University of Wisconsin, Madison

Project/Proposal Start and End Date (MM/YYYY) (if available):

Person Months (Calendar/Academic/Summer) per budget period: N/A

Estimated Dollar Value of In-Kind Information: estimate $1,000

Summary of In-Kind Contribution: C57BL/6-*ABC1*tm1jbp mice provided by Dr. Joseph Jones at the University of Texas at Austin.

Status of Support: Active

Primary Place of Performance: University of Wisconsin, Madison

Project/Proposal Start and End Date (MM/YYYY) (if available):

Person Months (Calendar/Academic/Summer) per budget period: N/A

Estimated Dollar Value of In-Kind Information: estimate $4,000

**Overlap** (summarized for **each individual**): State the OVERLAP of ACTIVE and PENDING awards with proposed activities)

There is scientific overlap between aim 2 of NSF DCB 950000 and aim 4 of the application under consideration. If both are funded, the budgets will be adjusted appropriately in conjunction with agency staff.

Each PD/PI or other senior key personnel must electronically sign their respective Other Support form prior to submission. This signature certifies that the statements are true, complete and accurate.

I, PD/PI or other senior/key personnel, certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

Signature: ­­­­­­­­­­­­­­­­­­­­­­­­­­ Provide electronic (scanned or digital) signature

Date: ­­­­­­­­­­­­­­­­­­­­­­­­­­\_\_\_\_\_\_\_\_May 25, 2021\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

NOTE: if electronic signatures are used, you must flatten the PDF by using “Print to PDF” function. Retain un-flattened PDF for your records in case NIH requires validation of electronic signature.

**Special Instructions for Joint University and Department of Veterans Affairs (VA) Appointments**

Individuals with joint university and **VA appointments may request the university’s share of their salary in proportion to the effort devoted to the research projec**t. The individual’s salary with the university determines the base for computing that request. Signature by the Institutional Official on the application certifies that: (1) the individual is applying as part of a joint appointment specified by a formal Memorandum of Understanding between the university and the VA; and (2) there is no possibility of dual compensation for the same work, or of an actual or apparent conflict of interest regarding such work. Additional information may be requested by the awarding component(s).