



Evidence of Institutional Capacity and Expertise

The Board of Regents of the University of Wisconsin System on behalf of the University of Wisconsin – Madison (UW – Madison) makes the following statements with respect to evidence of institutional capacity and expertise.

1. Financial Oversight Capabilities

University of Wisconsin – Madison resources to manage the proposed subcontract are evident in audited financial statements, Federally-negotiated agreements, and Single Audit reports, e.g., an annual financial statement audit of the University of Wisconsin System, the Federally-negotiated Disclosure Statement (DS-2) and indirect cost rate agreement, and the State of Wisconsin Legislative Audit Bureau’s Single Audit Report performed in accordance with standards contained in the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (OMB 2 CFR 200).

The University of Wisconsin – Madison is a public institution of higher education, founded in 1848. UW – Madison has extensive experience in managing extramurally sponsored awards. In Fiscal Year 2022, UW – Madison expended in excess of \$1.5 billion on research and development awards, of which over \$740 million were Federal government funds.¹

2. Ability to Comply

University of Wisconsin – Madison is able to comply with the expected delivery or performance schedule having taken into account all existing and currently prospective commitments of the applicant, nongovernmental and governmental. The technical personnel and multi-disciplinary units referenced in the UW – Madison proposal are committed to achieving project objectives. University of Wisconsin – Madison assets to support this project include significant investment in research programs, human capital, development of future scientists, and research facilities.

3. Record of Performance

University of Wisconsin – Madison has an outstanding record of past performance. All staff are held to high standards of integrity and compliance with policies and

¹ National Center for Science and Engineering Statistics (NCSES). 2023. *Higher Education Research and Development: Fiscal Year 2022*. NSF 24-308. Alexandria, VA: National Science Foundation. Available at <https://nces.gov/surveys/higher-education-research-development/2022>.

procedures, satisfaction of contract terms and conditions, and conformance with U.S. Government regulations (as well as with local laws and regulations, as appropriate). UW – Madison is a Public/State Controlled Institution of Higher Education. UW – Madison’s record of externally funded research, development, instruction, and other sponsored activity performance and delivery are evident in legal documents referenced in above, as well as recognition by national academic entities and publications.

4. Record of Integrity and Business Ethics

University of Wisconsin – Madison has an outstanding record of performance. All staff are held to high standards of integrity and compliance with policies and procedures, satisfaction of contract terms and conditions, and conformance with U.S. Government regulations (as well as with local laws and regulations, as appropriate). All University employees are responsible for complying with UW – Madison policies, State of Wisconsin Statutes, University of Wisconsin Administrative Code, UW System Board of Regents Policies, UW System Administrative Policies and Procedures, and Federal regulations applicable to sponsored programs.

5. Organization, Experience, Accounting and Operational Controls, and Technical Skills

UW – Madison’s accounting and financial management systems follow Generally Accepted Accounting Principles (GAAP). UW – Madison’s financial management system provides accurate, current, and complete disclosure of the financial results of each Federally-sponsored project or program (i.e., each award is accounted for separately and financial reports produced in accordance with Federal requirements). The financial management system reports and provides for a comparison of outlays for project expenditures with the budget amounts for each grant award.

UW – Madison’s sponsored activity administration support systems and organizational units are designed to manage a variety of projects from single investigator to large scale, multi-institutional, multi-national projects, as well as a variety of funding mechanisms including grants, cooperative agreements, cost reimbursement, indefinite delivery indefinite quantity, and fixed price types of contracts and subcontracts. Administrative infrastructure for externally funded projects includes:

Management Function	UW – Madison Units
Award/contract management and project accounting	Office of Research and Sponsored Programs (RSP) and Division of Business Services, Accounting Services Department
Procurement of services (e.g., consulting, subcontracting with technical experts and small business), research equipment and laboratory consumables, and other project items	Office of Research and Sponsored Programs (RSP) and Division of Business Services, Purchasing Services Department
Risk Management	Division of Business Services, Risk Management Department

Training in responsible conduct in research and research compliance	Office of the Vice Chancellor for Research, Research Policy and Integrity
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The Office of Research and Sponsored Programs' Contracts team reviews and negotiates about 5,000 agreements each year that provide sponsored project funding to UW – Madison faculty and staff. The Outgoing Subawards team prepares, issues, and negotiates outgoing subawards, about 800 per year. Both the Contracts team and Outgoing Subawards team, along with individual departments, work closely with the Division of Business Services, Purchasing Services Department on procurement activities.

6. Equipment and Facilities

UW – Madison's record of research expenditures attests to the adequacy of physical and systems infrastructure in which to perform all aspects of sponsored projects.

7. Eligibility to Receive Award

UW – Madison is qualified and eligible to receive contracts and other agreements under applicable laws and regulations. UW – Madison is not included in any list maintained by the U.S. Government of entities debarred, suspended, or excluded from U.S. Government awards and funding.



Mark Sweet
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