



Symposium for Research Administrators

University of Wisconsin-Madison
October 25th, 2022

Navigating Limited Submission Opportunities for External Funding at UW-Madison

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Outline

- What are limited submissions?
- How to spot them
- Examples
- Who manages?
- Process
- Submission criteria
- How to submit
- Review process
- Notifications
- Next steps
- More examples
- Best practices

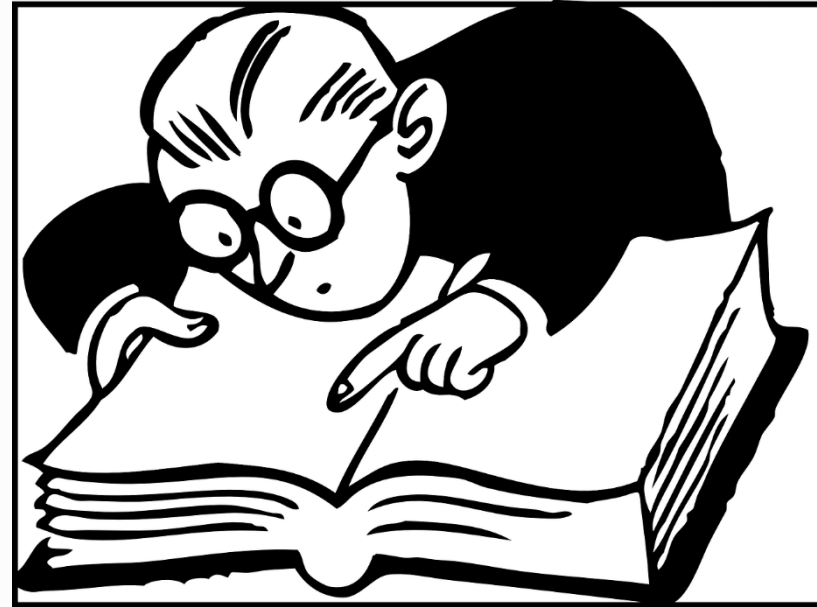
What are limited submissions?

- A Limited Submission is a funding opportunity for which the sponsor sets an institutional limit for the number of proposals it will accept.
- Why do sponsors limit?



How to spot them

- Check “Eligibility” section of solicitation
- Common Examples
- Sometimes it’s not obvious



Eligibility example - NIH

Department of Health and Human Services

Part 1. Overview Information

Participating Organization(s)	National Institutes of Health (NIH)
Components of Participating Organizations	Office of Strategic Coordination (Common Fund) This Funding Opportunity Announcement (FOA) is developed as a Common Fund initiative (https://commonfund.nih.gov) through the Office of the NIH Director, Office of Strategic Coordination (https://dpcpsi.nih.gov). All NIH Institutes and Centers participate in Common Fund initiatives. Grants management of the awards will be administered by the National Institute of Dental and Craniofacial Research (http://www.nidcr.nih.gov) on behalf of the NIH.
Funding Opportunity Title	NIH Director's Early Independence Awards (DP5 Clinical Trial Optional)
Activity Code	DP5 Early Independence Award
Announcement Type	Reissue of RFA-RM-21-018
Related Notices	None
Funding Opportunity Announcement (FOA) Number	RFA-RM-22-021
Companion Funding Opportunity	None
Number of Applications	Only up to two applications per institution are allowed as defined in Section III. 3. Additional Information on Eligibility.

Section III. Eligibility Information

3. Additional Information on Eligibility

Number of Applications

Applicant organizations may submit more than one application, provided that each application is scientifically distinct.

The NIH will not accept duplicate or highly overlapping applications under review at the same time, per 2.3.7.4 Submission of Resubmission Application. This means that the NIH will not accept:

- A new (A0) application that is submitted before issuance of the summary statement from the review of an overlapping new (A0) or resubmission (A1) application.
- A resubmission (A1) application that is submitted before issuance of the summary statement from the review of the previous new (A0) application.
- An application that has substantial overlap with another application pending appeal of initial peer review (see 2.3.9.4 Similar, Essentially Identical, or Identical Applications).

Only two applications per institution (normally identified by having a Unique Entity Identifier (UEI) number or NIH IPF number) are allowed.

Eligibility example - NSF

Eligibility Information

Who May Submit Proposals:

Proposals may only be submitted by the following:

- Institutions of Higher Education (IHEs) - Two- and four-year IHEs (including community colleges) accredited in, and having a campus located in the US, acting on behalf of their faculty members. Special Instructions for International Branch Campuses of US IHEs: If the proposal includes funding to be provided to an international branch campus of a US institution of higher education (including through use of subawards and consultant arrangements), the proposer must explain the benefit(s) to the project of performance at the international branch campus, and justify why the project activities cannot be performed at the US campus.

Who May Serve as PI:

The PI must be on the faculty of the submitting institution.

Limit on Number of Proposals per Organization: 2

An eligible organization may participate in only two (2) proposals per NRT competition as lead or collaborative non-lead. All Track 1 and/or Track 2 NRT proposals will be counted toward this total limit of two proposals per organization.

Participation includes serving as a lead organization or non-lead organization on any proposal.

Organizations participating only as evaluators on projects are excluded from this limitation. Proposals that exceed the organizational eligibility limit will be returned without review regardless of whether the organization on such a proposal serves as lead or non-lead collaborative organization. Only US IHEs are eligible to submit as a lead or non-lead organization. Potential PIs are advised to contact their institutional office of research regarding processes used to select proposals for submission.

Limit on Number of Proposals per PI or co-PI: 1

An individual may serve as Principal Investigator (PI) or co-PI on only one (1) proposal submitted to the NRT program per annual competition. Proposals that exceed the PI/co-PI eligibility limit (beyond the first submission based on timestamp), will be returned without review regardless of the individual's role (PI or co-PI) in the returned proposal.

Eligibility example - Invited

Scientific Innovations Award Guidelines

The 2023 Scientific Innovations Award (SIA) will open in early April 2022.

If your institution did not receive an invitation directly from BRF via e-mail, then you are not eligible to apply. To learn more about institutional eligibility and our application guidelines, click below.

[2023 SCIENTIFIC INNOVATIONS AWARD GUIDELINES](#)

[INSTITUTIONAL ELIGIBILITY REQUIREMENTS](#)

If you and your team are on the cusp of making a neurological scientific discovery that will impact society and the medical, scientific or structural approaches taken to brain disease, disorders or development and learning, you could be eligible to apply for our Scientific Innovation Award.

Below are just some of the innovative scientific studies BRF have funded to date.

Brain Research Foundation 2023 Scientific Innovations Award Grant Announcement

[Brain Research Foundation](#) is inviting your institution to nominate **one senior faculty member** to submit a Letter of Intent for the *2023 Scientific Innovations Award (SIA)*. The objective of the program is to support projects that may be too innovative and speculative for traditional funding sources but still have a high likelihood of producing important findings. It is expected that investigations supported by these grants will yield high impact findings and result in major grant applications and funding as well as significant publications in high impact journals.

Eligibility examples – Other

WISTEM²D

Application Process

In addition to general contact details and demographics, the scholar applicant is responsible for the provision of documents into the *application* system, including non-confidential letters of recommendation.

- Submit a university or school department head letter of recommendation (Note: each university / institution can support one applicant per STEM²D discipline; six applicants in total).
- Write 1,000 words detailing your proposed area of research. One additional page can be added for images, or references.
- Submit your resume (three pages, font size 11), including a list of publications, presentations, abstracts, current financial support already obtained, other folio work and two references.
- Submit a brief outline of your budget for proposed research. (Note: a **template** is provided if needed.)



What are not limited submissions

- NIH language on each proposal needing to be unique

3. Additional Information on Eligibility

Number of Applications

Applicant organizations may submit more than one application, provided that each application is scientifically distinct.

The NIH will not accept duplicate or highly overlapping applications under review at the same time, per [2.3.7.4 Submission of Resubmission Application](#). This means that the NIH will not accept:

- A new (A0) application that is submitted before issuance of the summary statement from the review of an overlapping new (A0) or resubmission (A1) application.
- A resubmission (A1) application that is submitted before issuance of the summary statement from the review of the previous new (A0) application.
- An application that has substantial overlap with another application pending appeal of initial peer review (see [2.3.9.4 Similar, Essentially Identical, or Identical Applications](#))

- One per PI is not limited

Who manages these at UW-Madison?

- OVCRGE



- Exceptions:
 - When anticipated applications are from one school/college or department
 - Simons Foundation, Hartwell Foundation, Lily's Fund
- AVCs decide on who manages

Process



Process

- OVCRGE Internal Competitions [Website](#):

The screenshot shows the University of Wisconsin Research website. At the top left is the 'RESEARCH' logo. A navigation menu includes: NEWS, BLOGS & MEETINGS; RESEARCH FUNDING; PROFESSORSHIPS & FACULTY FELLOWSHIPS; SPONSORED RESEARCH; COMPLIANCE, ETHICS AND INTELLECTUAL PROPERTY; CENTERS, OFFICES & CORES; RESEARCHER RESOURCES; and ABOUT. Below the menu is a search bar. The main content area is titled 'Institutional Nominations and Internal Competitions' and contains text about the submission process, a link to previous year's competitions, and a link to learn more about proposal submission limits. At the bottom, there is a 'CURRENT COMPETITIONS' section with a filter box and two entries: 'Moore Inventor Fellowships 2023' (deadline: October 21, 2022) and 'NSF Major Research Instrumentation Program: (MRI) 2023' (deadline: October 17, 2022).

The screenshot shows the 'Brain Research Foundation Scientific Innovations Award 2023' announcement page. The breadcrumb trail is 'HOME / BRAIN RESEARCH FOUNDATION SCIENTIFIC INNOVATIONS..'. The title is 'Brain Research Foundation Scientific Innovations Award 2023' with a date of 'April 8, 2022'. The announcement details are as follows:

To:	Chairs and Administrators; Departments in the Biological Sciences; Associate Deans for Research; School/College Research Administrators
From:	Office of the Vice Chancellor for Research & Graduate Education
Date:	April 08, 2022
Subject:	Brain Research Foundation Scientific Innovations Award 2023

DEADLINE

Deadline for Internal Review:	May 10, 2022
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PROJECT DESCRIPTION

The Brain Research Foundation's Scientific Innovations Award Program provides funding for innovative science in both basic and clinical neuroscience. This funding mechanism is designed to support creative, exploratory, cutting edge research in well-established research laboratories, under the direction of established investigators.

Process

UNIVERSITY OF WISCONSIN - MADISON

Office of the Vice Chancellor for Research and Graduate Education

Internal Competition
Brain Research Foundation Scientific Innovations Award 2023
Deadline for Internal Review: May 10, 2022
Please Forward to Interested Researchers

Project Description

The Brain Research Foundation's Scientific Innovations Award Program provides funding for innovative science in both basic and clinical neuroscience. This funding mechanism is designed to support creative, exploratory, cutting edge research in well-established research laboratories, under the direction of established investigators.

The objective of the SIA is to support projects that may be too innovative and speculative for traditional funding sources but still have a high likelihood of producing important findings. It is expected that investigations supported by these grants will yield high impact findings and result in major grant applications and significant publications in high impact journals.

Amount per Award: \$150,000

Duration of Award: 2 Years

Number of Awards: 2-3 Awards

Process

Eligibility

The nominated candidate must be a full-time associate or full professor at an invited US academic institution, working in the area of studies of brain function in health and disease. Current major NIH or other peer-reviewed funding is preferred but evidence of such funding in the past three years is essential. Studies should be related to either normal human brain development or specifically identified disease states. This includes molecular and clinical neuroscience as well as studies of neural, sensory, motor, cognitive, behavioral and emotional functioning in health and disease. The grant proposal must detail a new research project that is not funded by other sources. This grant is not to be used as a source of bridge funding.

Scientists that have previously received a BRF Scientific Innovations Award may not receive the award for a second time until five years has elapsed since the beginning date of the prior award. Grant requirements from all previous awards must be met. UW-Madison has been invited to nominate one senior faculty member to submit a Letter of Intent.

BRF Scientific Innovation Awards [2023 Guidelines](#)

Website

The following link contains additional information on the program and specific application instructions:

<https://www.thebrf.org/scientific-innovations-award/>

Internal Competition Application Instructions

Applications for Internal Review

To submit your application, attach a single PDF to an email to:

grants@research.wisc.edu

Process

- Cover Sheet including Name, Academic Title, Contact Information and Title of Program Project
- Brief project summary (2 page maximum)
- NIH Style Biographical sketch with other support page (5 page maximum)

Sponsor Deadlines

Letters of Intent are due to Brain Research Foundation by June 23, 2022. Applications are due to Brain Research Foundation by October 04, 2022.



Office of the Vice Chancellor for Research & Graduate Education
317 Bascom Hall
500 Lincoln Drive
Madison, WI 53706
608-263-7274
grants@research.wisc.edu

General internal submission criteria

- Cover Page
- CV or Biosketch
- Research Project Summary
- Other – Letter of support, Professional Service and Diversity, Equity, and Inclusion Statement, etc.



How to submit internal competition materials

- Email us (for now)!

Applications for Internal Review

To submit your application, please email a single PDF to grants@research.wisc.edu:

Please include the following information:

- Cover Sheet, with name, contact information, and title of the project
- Letter of Support from Chair or Center Director (no more than 2 pages, using the candidate assessment in the 2023 FAQs as guidance)
- CV
- Research project summary of no more than 4 pages providing a description of how the proposed project meets the goals of the solicitation

Review process

- Associate Vice Chancellors at OVCRGE
- Dean, Associate Deans in the Graduate School
- Experts in particular area of science
- Past awardees
- Timeline for review

Notifications

- Email those selected and not selected.
- For those selected, copy department research administrator and division.
- May include others such as mentor or chair.



Next steps

- Researcher works with dept and div to submit application as they normally would.
- Attach OVCRGE approval to WISPER record.
- Some opportunities require OVCRGE to submit a nomination or submit entire proposal. Blavatnik Awards, Moore Inventor Fellowship, Pew Scholars Program. Still route a WISPER record.
- We'll let you know!

Example competition - General

Brain Research Foundation Scientific Innovations Award 2023

April 8, 2022

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Amount per Award: \$150,000
Duration of Award: 2 Years
Number of Awards: 2-3 Awards

Example competition - General

ELIGIBILITY

The nominated candidate must be a full-time associate or full professor at an invited US academic institution, working in the area of studies of brain function in health and disease. Current major NIH or other peer-reviewed funding is preferred but evidence of such funding in the past three years is essential. Studies should be related to either normal human brain development or specifically identified disease states. This includes molecular and clinical neuroscience as well as studies of neural, sensory, motor, cognitive, behavioral and emotional functioning in health and disease. The grant proposal must detail a new research project that is not funded by other sources. This grant is not to be used as a source of bridge funding.

Scientists that have previously received a BRF Scientific Innovations Award may not receive the award for a second time until five years has elapsed since the beginning date of the prior award. Grant requirements from all previous awards must be met.

UW–Madison has been invited to nominate one senior faculty member to submit a Letter of Intent.

BRF Scientific Innovation Awards [2023 Guidelines](#) 

Example competition - General

WEBSITE

The following link contains additional information on the program and specific application instructions:

<https://www.thebrf.org/scientific-innovations-award/>

INTERNAL COMPETITION APPLICATION INSTRUCTIONS

Applications for Internal Review

To submit your application, attach a single PDF to an email to: grants@research.wisc.edu

- Cover Sheet including Name, Academic Title, Contact Information and Title of Program Project
- Brief project summary (2 page maximum)
- NIH Style Biographical sketch with other support page (5 page maximum)

SPONSOR DEADLINES

Letters of Intent are due to Brain Research Foundation by June 23, 2022. Applications are due to Brain Research Foundation by October 04, 2022.

QUESTIONS?

Contact grants@research.wisc.edu.

Example competitions - Complex

- NSF Major Research Instrumentation Program (MRI)
 - Limited to 2 submissions in Track 1 and no more than 1 submission in Track 2
 - Requires
 - Letter certifying that UW is a Ph.D. granting institution
 - Institutional Commitment Letter including list of MRI awards made to the UW during the previous 5 years
 - Cost Share Commitment letter

Limit on Number of Proposals per Organization:

Three (3) as described below. Potential PIs are advised to contact their institutional office of research regarding processes used to select proposals for submission.

The MRI program requires that an MRI-eligible organization may, as a performing organization, submit or be included as a significantly funded [3] subawardee in no more than three MRI proposals. Beginning with this competition, each performing organization is now limited to a maximum of three proposals in revised "Tracks" as defined below, with no more than two submissions in Track 1 and no more than one submission in Track 2. Any MRI proposal may request support for either the acquisition or development of a research instrument. Within their submission limit, NSF strongly encourages organizations to submit proposals for innovative development projects.

Any MRI proposal may request support for either the acquisition or development of a research instrument.

- **Track 1:** Track 1 MRI proposals are those that request funds from NSF greater than or equal to \$100,000¹ and less than \$1,000,000.
- **Track 2:** Track 2 MRI proposals are those that request funds from NSF greater than or equal to \$1,000,000 up to and including \$4,000,000.

Note: The 30% cost-sharing requirement applies to only the portion of the total project cost budgeted to non-exempt organizations, including those participating through subawards. When required, cost-sharing must be precisely 30%. Cost sharing is required for Ph.D.-granting institutions of higher education and for non-degree-granting organizations. Non-Ph.D.-granting institutions of higher education are exempt from cost-sharing and cannot provide it. National Science Board policy is that voluntary committed cost sharing is prohibited. See section V.B. for specific information on cost-sharing calculations and the solicitation text for definitions of organizational types used for the MRI program.

[3] An unfunded collaboration does not count against the submission limit. Inclusion as a funded subawardee on a development proposal at a level in excess of 20% of the total budget requested from NSF, or as a funded subawardee, when allowed, on any acquisition proposal, will be counted against an organization's proposal submission limit. Separately submitted linked collaborative proposals count against the submission limit of each of the submitting organizations. However, if a subaward to an organization in a development proposal is 20% or less of the proposal's total budget request from NSF, the subawardee's submission limit will not be affected. For subawards within a linked collaborative proposal, the 20% threshold applies to the budget request from NSF in the proposal containing the subaward(s), not to the combined budget request from NSF for the collaborative project.


Example competitions - Complex

INTERNAL COMPETITION APPLICATION INSTRUCTIONS

Applications for Internal Review

To submit your application, attach a single PDF to an email to: grants@research.wisc.edu

Please include the following information:

- Full Contact information for PI & Co-PIs
- Indicate whether your project is Acquisition or Development
- 2-3 page description of the proposed instrumentation, the need for the instrumentation, and the faculty/staff programs impacted.
- Include the signed [NSF MRI Cost Share Form](#)  with your application

Example competitions – Quick turnaround



CHEMICAL AND MATERIALS SCIENCES TO ADVANCE CLEAN ENERGY TECHNOLOGIES AND LOW-CARBON MANUFACTURING

FUNDING OPPORTUNITY ANNOUNCEMENT (FOA) NUMBER:
DE-FOA-0002676

FOA TYPE: **AMENDMENT 000001**
CFDA NUMBER: 81.049

AMENDMENT 00001 IS ISSUED TO CLARIFY THE REQUIREMENTS/CONTENTS ON PAGE 4

FOA Issue Date:	February 16, 2022
Submission Deadline for Pre-Applications:	March 16, 2022, at 5:00 PM Eastern A Pre-Application is required
Pre-Application Response Date:	April 13, 2022
Submission Deadline for Applications:	May 17, 2022, at 11:59 PM Eastern

D. LIMITATIONS ON SUBMISSIONS

Applicant institutions are limited to a total of no more than 3 pre-applications or applications.

- DOE/NNSA National Laboratories may submit up to 3 pre-applications or applications, which must be for multi-PI efforts.
- Institutions other than DOE/NNSA National Laboratories may submit up to 2 pre-applications or applications for multi-PI efforts and up to 3 pre-applications or applications for single-PI efforts, for a combined total of no more than 3 pre-applications or applications.

NOTE: The total number of submissions from a single institution must not exceed 3.

DOE will consider the latest received submissions to be the institution's intended submissions.

- Pre-applications without institutional endorsement may be discouraged.
- Pre-applications in excess of the limited number of submissions may be discouraged.
- Applications in excess of the limited number of submissions may be declined without review.

Individuals are limited to be lead-PI on 1 pre-application or application. The PI on a pre-application or application may also be listed as a senior or key personnel on separate submissions

Example competitions – Not Limited

- NIH S10: Shared Instrumentation Grant (SIG) and High-End Instrumentation Grant Program (HEI)

3. Additional Information on Eligibility

Number of Applications

Applicant organizations may submit more than one application, provided that each application is scientifically distinct.

The NIH will not accept duplicate or highly overlapping applications under review at the same time, per [2.3.7.4 Submission of Resubmission Application](#). This means that the NIH will not accept:

- A new (A0) application that is submitted before issuance of the summary statement from the review of an overlapping new (A0) or resubmission (A1) application.
- A resubmission (A1) application that is submitted before issuance of the summary statement from the review of the previous new (A0) application.
- An application that has substantial overlap with another application pending appeal of initial peer review (see [2.3.9.4 Similar, Essentially Identical, or Identical Applications](#)).

There is **no restriction** on the number of applications an institution (as identified by a specific UEI number) can submit to the SIG and/or High-End Instrumentation (HEI) Programs each year, **provided the applications request different types of equipment**. However, only one application to the Basic Instrumentation Grant (BIG) Program is allowed per receipt date. **Concurrent SIG, HEI or BIG applications for the same instrument (or the same type of instrument with added special accessories – for example, to meet the HEI budget requirement) are not allowed unless documentation from a high-level institutional official is provided, stating that this is not an unintended duplication, but part of a campus-wide instrumentation plan.** Applicants are advised to discuss with the SIG Scientific/Research Contact (Section VII) potential duplicates before submitting two applications for the same type of instrument.

A single application requesting more than one type of instrument (for example, a mass spectrometer and a confocal microscope) is not responsive to this FOA and will not be reviewed.

Example competitions – Not Limited

ELIGIBILITY

There is no limit on the number of applications an institution may submit, provided the applications are for different types of equipment. The NIH will not accept duplicate or highly overlapping applications under review at the same time.

Multiple applications from UW–Madison for similar instruments require official administrative assurance that such requests are a coordinated institutional effort and not unintended duplication. For this reason, Office of the Vice Chancellor for Research & Graduate Education review of the equipment requested is required.

This is not an internal competition.

WEBSITE

The following link contains additional information on the program and specific application instructions:

<https://grants.nih.gov/grants/guide/pa-files/PAR-22-080.html>

INTERNAL COMPETITION APPLICATION INSTRUCTIONS

Applications for Internal Review

To submit your notice of intent, attach a single PDF to an email to: grants@research.wisc.edu.

Please include the following:

- Cover Page including: PI name, address, and department
- Indication of program intent: HEI or SIG
- Name and description of the instrument
- Statement of intended use
- List of the potential users

Example competitions – Not Limited

Funded NIH S10 Awards to the University of Wisconsin - Madison for Fiscal Years 2017 - 2021

S10 Grant Number	Year of Award	Installation Date	POI/PI's Name	Description	Instrument Status	Actual Usage Time	Maintenance Agreement	# of Publications	If the instrument is currently non-functional, the institution must provide a supplementary explanatory text.
1S1000023676-01	4/20/2017	7/11/2017	Halenak, Matthew A.	Ultra Focus Digital Radiography System with DXA	Active	225	Active	1	
1S1000025246-01	3/5/2018	1/23/2019	Christien, Bradley T.	Biograph Horizon PET/CT	Active	763 scans	Active	3	The instrument is functional and highly utilized.
1S1000025094-01	4/1/2018	11/7/2018	Li, Lingjun	Acquisition of a High Resolution High Speed MALDI Mass Spectrometer for Biomedical Research at UW-Madison	Active	1396	Active	6	
1S1000025040-01	4/17/2018	12/1/2018	Audhya, Anjon W.	An Upright Multiphoton Microscopy System to Support the Biomedical Sciences	Active	542	Active	3	
1S1000023525-01A1	8/16/2018	10/31/2018	Matkowskyj, Kristina A.	Automated Tissue Microarrayer	Active	26.5 hours (STMAx)	Active	17	
1S1000025225-01	9/24/2018	2/12/2020	Petankar, Manish S.	BD FACSymphony High Parameter Flow Cytometer	Active	861	Active	0	Data generated on this instrument is high parameter and requires intense analysis. Most experiments are in progress; multiple samples over months to years.
1S1000026769-01	8/14/2019	12/1/2019	Olegui, Maria S.	Acquisition of a Leica ICE high-pressure freezer for correlated light and electron microscopy and electron tomography	Active	150	Active	1	
1S1000026957-01	9/1/2019	12/1/2019	Brandt, Curtis	Four Instrument Cluster: Zeiss OMP Lumax, Zeiss PALM LCI, Logos X-Clarity and Diagoosys ERG.	Active	111	Maintenance agreements are done for now and due to low use we have not resumed any	0	Instruments are functional but number of users is small due to COVID. We hired a research technician to help users with instruments so we plan to advertise them to the wider campus community shortly

Best Practices

- Review Eligibility Section
- Check our website for competitions and procedure
- Distribute our emails to researchers
- Attach OVCRGE email confirmation to WISPER record
- Contact us for guidance grants@research.wisc.edu

Questions

- <https://research.wisc.edu/funding/institutional-nominations/>

Thank you!

